



DCBB – 403

IV Semester B.B.A. Examination, July/August 2024.

(NEP)

(Freshers and Repeaters)

MANAGEMENT

4.2 (B) : Financial Markets and Services

Time : 2½ Hours

Max. Marks : 60

Instruction : Answers should be written **completely** in **English**.

SECTION – A

Answer **any 5** questions. **Each** question carries **2** marks : **(5×2=10)**

1. a) What is financial institution ?
b) Expand NSIDC and ICICI.
c) Write the meaning of money market instrument.
d) Define leasing.
e) What is meant by listing of shares ?
f) Give the meaning of financial market.
g) What do you mean by commercial paper ?

SECTION – B

Answer **any 3** questions. **Each** question carries **4** marks : **(3×4=12)**

2. Explain briefly financial markets features.
3. Explain briefly NBFC's.
4. What is venture capital ? Explain any 2 features.
5. Explain briefly SEBI guidelines for issue of commercial papers.
6. Write a short note on NSE.

SECTION – C

Answer **any 3** questions. **Each** question carries **10** marks : **(3×10=30)**

7. Explain briefly constituents of financial system.
8. Explain the functions of IFCI and IDBI.

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9. What is merchant banking ? Explain its functions.
10. Explain briefly functions of financial markets.
11. Explain briefly NSDL and CDSL.

SECTION – D
(Skill Based Questions)

Answer **any one** question from this question :

(1×8=8)

12. Ramesh the CEO of a company thinks of going with the most popular method of raising funds used by the public companies. He discussed this option with his immediate subordinates. After discussion he realises that since his company is private company he should think of some other option. Then they think of issuing the securities through intermediaries like issuing houses or stocks brokers. When his nephew comes to know that he decides to suggest his uncle another way. He advises him to involve institutional investors which will help raise funds more quickly and reduce many mandatory and non mandatory expenses. After a lot of discussion, the option suggested by his nephew is chosen as final based on the above case study, answer the following :
 - a) Which method do you think will be applicable in the primary market ?
 - b) In which type of capital market trading of only existing shares is done ?
 - c) In which type of capital market only buying of securities is possible as securities can't be sold here ?
 - d) Which type of capital market does not have fixed geographical location ?
13. A development financial institute issues a money market instrument. It is issued by the financial institute during the time of tight liquidity. This helps the firm to mobilize large amount of funds in a short period of time.
 - a) Which money market instrument is highlighted in the above case ?
 - b) What are the characteristics of a money market instrument ?
 - c) Which other type of body can issue this money market instrument ?
When ?